

**GENERAL EXCLUSION AND EXEMPTION
CERTIFICATE—FOR LEAD-ACID BATTERIES NOT
SUBJECT TO THE LEAD-ACID BATTERY FEES**

CALIFORNIA DEPARTMENT OF TAX AND FEE ADMINISTRATION

Regulation 3240

As provided in Regulation 3240, *Written Certification*, a seller must obtain a written certification from a purchaser that a lead-acid battery will be sold or used in a manner or for a purpose excluding or exempting it from the California battery fee and/or the manufacturer battery fee. The following certificate meets the requirements of written certification and should be used to document sales of lead-acid batteries that are not subject to the fees.

I hereby certify:

1. I hold a valid Seller's Permit or Certificate of Registration—Use Tax:

Yes. CDTFA Account Number: _____

No. (If you responded "No," please complete item number two.)

2. Enter your reason for not holding a Seller's Permit or Certificate of Registration—Use Tax:

3. General description of the batteries covered by this certificate:

4. I am claiming an exclusion or exemption from the following fee(s) (check all that apply):

California Battery Fee

Manufacturer Battery Fee

5. The batteries purchased are not subject to the lead-acid battery fee(s) because they are (check all that apply):

California Battery Fee Only

Purchased for resale.

A battery to be incorporated into a used vehicle sold or leased by a new motor vehicle dealer.

A battery to be used as a stationary storage or standby battery that is designed to be used in systems where the battery acts as either:

- Electrical storage for electricity generation equipment;
- A source for emergency power; or
- Otherwise serves as a backup in case of failure or interruption in the flow of power from the primary source.

California or Manufacturer Battery Fee

A replacement lead-acid battery to be temporarily stored or used in California for the sole purpose of preparing the battery for use solely outside of California and will be subsequently transported outside California and thereafter used solely outside of California.

A battery to be incorporated into new equipment to be resold with the battery.

A battery to be provided as a replacement, without charge, under a vehicle or battery warranty or a vehicle service contract described under Insurance Code section 12800.

A battery to be used with or contained within a medical device, as defined in 21 U.S.C. 321(h).

6. I certify that the batteries I am purchasing under this exclusion and exemption certificate are not subject to the lead-acid battery fee(s) due to the exclusion(s) and/or exemption marked above and that the lead-acid batteries will be used as certified. I understand that if any lead-acid batteries are sold or used in a manner or for a purpose not specified above, I am required to report and pay any applicable lead-acid battery fees, including penalties and interest (if applicable), directly to the California Department of Tax and Fee Administration.

NAME OF PURCHASER _____

SIGNATURE OF PURCHASER, PURCHASER'S EMPLOYEE, OR AUTHORIZED REPRESENTATIVE _____

PRINTED NAME OF PERSON SIGNING _____ TITLE _____

ADDRESS OF PURCHASER _____

TELEPHONE NUMBER _____ DATE _____